

PATENT  
Docket No. 220032001301

**SUBSTITUTE DECLARATION FOR UTILITY PATENT APPLICATION**

**AS BELOW-NAMED INVENTORS, WE HEREBY DECLARE THAT:**

Our residences, post office addresses, and citizenship are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: COMBINATORIAL SYNTHESIS OF NOVEL MATERIALS, the specification of which is attached hereto unless the following box is checked:

☒ was filed on February 11, 2002 as United States Application Serial No. 10/074,745.

WE HEREBY STATE THAT WE HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

We acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing	Priority Claimed?
			<input type="checkbox"/> Yes <input type="checkbox"/> No

We hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date

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Application Serial No.	Filing Date	Status
08/327,513	October 18, 1994	<input checked="" type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
09/127,195	July 31, 1998	<input checked="" type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date \_\_\_\_\_ Name: Peter G. Schultz  
 Residence: 1650 La Jolla Rancho Road, La Jolla, California 92037  
 Citizenship: United States of America  
 Post Office Address: 1650 La Jolla Rancho Road, La Jolla, California 92037

Date \_\_\_\_\_ Name: Xiao-Dong Xiang  
 Residence: 1036 McCauley Road, Danville, California 94526-1970  
 Citizenship: United States of America  
 Post Office Address: 1036 McCauley Road, Danville, California 94526-1970

5/1/03  
 Date \_\_\_\_\_ Name: Isy Goldwasser  
 Residence: 580 Arastradero Road #505, Palo Alto, California 94306  
 Citizenship: United States of America  
 Post Office Address: 580 Arastradero Road #505, Palo Alto, California 94306

Date \_\_\_\_\_ Name: Gabriel Briceno  
 Residence: 13504 Rexwood Avenue, Baldwin Park, California 91706  
 Citizenship: United States of America  
 Post Office Address: 13504 Rexwood Avenue, Baldwin Park, California 91706

Date \_\_\_\_\_ Name: Xiao-Dong Sun  
 Residence: 33288 Jamie Circle, Fremont, California 94555  
 Citizenship: People's Republic of China  
 Post Office Address: 33288 Jamie Circle, Fremont, California 94555

Date \_\_\_\_\_ Name: Kai-An WANG  
 Residence: 1082 West Hill Court Cupertino, California 95014  
 Citizenship: United States of America  
 Post Office Address: 1082 West Hill Court Cupertino, California 95014

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Citizenship: United States of America  
Post Office Address: 1036 McCauley Road, Danville, California 94526-1970

Date: Name: Isy Goldwasser  
Residence: 580 Arastradero Road #505, Palo Alto, California 94306  
Citizenship: United States of America  
Post Office Address: 580 Arastradero Road #505, Palo Alto, California 94306

4/30/2003  
Date: Name: Gabriel Ariceno  
Residence: 13504 Rexwood Avenue, Baldwin Park, California 91706  
Citizenship: United States of America  
Post Office Address: 13504 Rexwood Avenue, Baldwin Park, California 91706

Date: Name: Xiao-Dong Sun  
Residence: 33288 Jamie Circle, Fremont, California 94555  
Citizenship: People's Republic of China  
Post Office Address: 33288 Jamie Circle, Fremont, California 94555

Date: Name: Kai-An WANG  
Residence: 1082 West Hill Court Cupertino, California 95014  
Citizenship: United States of America  
Post Office Address: 1082 West Hill Court Cupertino, California 95014

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We hereby claim benefit under 35 U.S.C. § 119(c) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date

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pa-721871

Application Serial No.	Filing Date	Status
08/327,513	October 18, 1994	<input checked="" type="checkbox"/> Patented <input type="checkbox"/> Pending <input type="checkbox"/> Abandoned
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Date: Name: Isy Goldwasser  
 Residence: 580 Arastradero Road #505, Palo Alto, California 94306  
 Citizenship: United States of America  
 Post Office Address: 580 Arastradero Road #505, Palo Alto, California 94306

Date: Name: Gabriel Briceño  
 Residence: 13504 Rexwood Avenue, Baldwin Park, California 91706  
 Citizenship: United States of America  
 Post Office Address: 13504 Rexwood Avenue, Baldwin Park, California 91706

Date: Name: Xiao-Dong Sun  
 Residence: 33288 Jamie Circle, Fremont, California 94555  
 Citizenship: People's Republic of China  
 Post Office Address: 33288 Jamie Circle, Fremont, California 94555

4-18-2003  
 Date: Name: Kai-An WANG  
 Residence: 1082 West Hill Court Cupertino, California 95014  
 Citizenship: United States of America  
 Post Office Address: 1082 West Hill Court Cupertino, California 95014

PATENT  
Docket No. 2200132001301

**SUBSTITUTE DECLARATION FOR UTILITY PATENT APPLICATION**

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4-18-03

Date

Name: Peter L. Schultz  
 Residence: 1650 La Jolla Rancho Road, La Jolla, California 92037  
 Citizenship: United States of America  
 Post Office Address: 1650 La Jolla Rancho Road, La Jolla, California 92037

Date

Name: Xiao-Dong Xiang  
 Residence: 1036 McCauley Road, Danville, California 94526-1970  
 Citizenship: United States of America  
 Post Office Address: 1036 McCauley Road, Danville, California 94526-1970

Date

Name: Izy Goldwasser  
 Residence: 580 Arastradero Road #505, Palo Alto, California 94306  
 Citizenship: United States of America  
 Post Office Address: 580 Arastradero Road #505, Palo Alto, California 94306

Date

Name: Gabriel Briceno  
 Residence: 13504 Rexwood Avenue, Baldwin Park, California 91706  
 Citizenship: United States of America  
 Post Office Address: 13504 Rexwood Avenue, Baldwin Park, California 91706

Date

Name: Xiao-Dong Sun  
 Residence: 33288 Jamie Circle, Fremont, California 94555  
 Citizenship: People's Republic of China  
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4-1-7-103  
 Date \_\_\_\_\_ Name: Xiao-Dong Xiang  
 Residence: 1036 McCauley Road, Danville, California 94526-1970  
 Citizenship: United States of America  
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Date \_\_\_\_\_ Name: Isy Goldwasser  
 Residence: 580 Arastradero Road #305, Palo Alto, California 94306  
 Citizenship: United States of America  
 Post Office Address: 580 Arastradero Road #305, Palo Alto, California 94306

Date \_\_\_\_\_ Name: Gabriel Dricco  
 Residence: 13504 Rexwood Avenue, Baldwin Park, California 91706  
 Citizenship: United States of America  
 Post Office Address: 13504 Rexwood Avenue, Baldwin Park, California 91706

Date \_\_\_\_\_ Name: Xiao-Dong Sun  
 Residence: 33288 Jamie Circle, Fremont, California 94555  
 Citizenship: People's Republic of China  
 Post Office Address: 33288 Jamie Circle, Fremont, California 94555

Date \_\_\_\_\_ Name: Kai-An WANG  
 Residence: 1082 West Hill Court Cupertino, California 95014  
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Citizenship: United States of America  
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Citizenship: United States of America  
Post Office Address: 580 Arastradero Road #505, Palo Alto, California 94306

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Citizenship: United States of America  
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5/16/03  
Date: Name: Xiao-Dong Sun  
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Date: Name: Kai-An WANG  
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Citizenship: United States of America  
Post Office Address: 1082 West Hill Court Cupertino, California 95014

PATENT  
Docket No. 220032001301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Peter G. SCHULTZ et al.

Serial No.: 10/074,745

Filing Date: February 11, 2002

For: COMBINATORIAL SYNTHESIS OF  
NOVEL MATERIALS

Examiner: M. Baker

Group Art Unit: 1627

STATEMENT BY XIAO-DONG SUN IN SUPPORT OF  
REQUEST TO CORRECT INVENTORSHIP  
PURSUANT TO 37 C.F.R. § 1.48(a)(2)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I, Xiao-Dong Sun, declare as follows:

- 1) I reside at 33288 Jamic Circle, Fremont, California 94555;
- 2) I am an inventor of the above-referenced patent application;
- 3) The error in inventorship occurred without deceptive intention on my part.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and

pa-721858

that such willful false statements may jeopardize the validity of the application or any patent  
issuing thereon.

Xiao-Dong Sun  
Xiao-Dong Sun

Date: 10/27/02

Signed at location: Fremont, CA

PATENT  
Docket No. 220032001301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Peter G. SCHULTZ et al.

Serial No.: 10/074,745

Filing Date: February 11, 2002

For: COMBINATORIAL SYNTHESIS OF  
NOVEL MATERIALS

Examiner: M. Baker

Group Art Unit: 1627

STATEMENT BY GABRIEL BRICENO IN SUPPORT OF  
REQUEST TO CORRECT INVENTORSHIP  
PURSUANT TO 37 C.F.R. § 1.48(a)(2)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

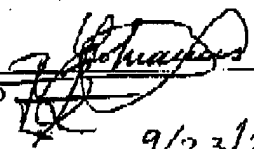
I, Gabriel Briceno, declare as follows:

- 1) I reside at 13504 Rexwood Ave., Baldwin Park, California 91706;
- 2) I am an inventor of the above-referenced patent application;
- 3) The error in inventorship occurred without deceptive intention on my part.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and

pa-721859

that such willful false statements may jeopardize the validity of the application or any patent  
issuing thereon.

  
\_\_\_\_\_  
(Gabriel Briceño)

Date:

9/23/2002

Signed at location:

Office of Blakely, Sokoloff, Taylor & Zelman



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Docket No. 220032001301

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Peter G. SCHULTZ et al.

Serial No.: 10/074,745

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For: COMBINATORIAL SYNTHESIS OF  
NOVEL MATERIALS

Examiner: M. Baker

Group Art Unit: 1627

STATEMENT BY KAI-AN WANG IN SUPPORT OF  
REQUEST TO CORRECT INVENTORSHIP  
PURSUANT TO 37 C.F.R. § 1.48(a)(1)

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

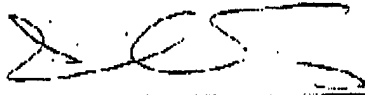
I, Kai-An Wang, declare as follows:

- 1) I reside at 1082 West Hill Court Cupertino, California 95014;
- 2) I am an inventor of the above-referenced patent application;
- 3) The error in inventorship occurred without deceptive intention on my part.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and

pa-721859

that such willful false statements may jeopardize the validity of the application or any patent  
issuing thereon.



Kai-An Wang

Date:

4-18-2003

Signed at location:

Cupertino, CA

10416.105013

CERTIFICATE UNDER 37 C.F.R. 83.73(b)

Applicant: Peter G. Schultz, et al.

Application No.: 10/074,745 Filed: February 11, 2002

For: Combinatorial Synthesis of Novel Materials

The Regents of the University of California, a corporation  
(Name of Assignee) (Type of Assignee e.g., corporation, partnership, university, government agency, etc.)

and  
Syntex Technologies, Inc., a corporation  
(Name of Assignee) (Type of Assignee e.g., corporation, partnership, university, government agency, etc.)

certify that they are the assignees of the entire right, title and interest in the patent application identified above by virtue of either:

(X) A. An assignment from the inventor(s) of the patent application identified above. The assignments were recorded in the Patent and Trademark Office at Reel 7518, Frame 0522 and Reel 7518, Frame 0103, or for which a copy thereof is attached.

OR

( ) B. A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

( ) Additional documents in the chain of title are listed on a supplemental sheet.

( ) Copies of assignments or other documents in the chain of title are attached.

The assignees have reviewed all the documents in the chain of title of the patent application identified above and, to the best of the assignees' knowledge and belief, title is in the assignees identified above.

The undersigned (whose titles are supplied below) are authorized to act on behalf of the assignees.

Date: 5-28-03

Date: \_\_\_\_\_

Name: David J. Aston

Name: \_\_\_\_\_

Title: Chief Patent Counsel

Title: \_\_\_\_\_

Signature: [Signature]

Signature: \_\_\_\_\_

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